**Tandy Tremblay**

**FDM Consultant**

**Profile**

Tandy graduated from Generic University studying Law and from the University of Generic with a postgraduate Legal Practice Course in Law and Business. These courses gave him an insight into how the legal and business sectors interact and encouraged him to apply for the Risk, Regulation and Compliance pathway. He is looking to apply the analytical, research and problem-solving skills developed during his academic studies in a business environment. These abilities were demonstrated during a university assignment that involved producing a written report and presentation analysing a merger and acquisition case study to assess its commercial viability. These skills were further improved during the FDM training when he and a colleague were tasked with evaluating the financial stability of an institution by designing a basic stress test and presenting findings to the group.

Tandy’s time spent working as a process mapping analyst at HSBC and as a Financial Crime Analyst at YBS has provided him with experience of stakeholder management as well as being able to work under pressure and to deadlines. His academic background combined with his work and voluntary experience provides him with a range of transferable skills that he is keen to apply and develop further within a commercial and practical environment.

**FDM Employment History**

**Yorkshire Building Society, Bradford December 2018 - May 2020**

*Financial Crime Analyst*

* Analysis of potential matches using YBS’s customer/transaction database against the Dow Jones Risk and Compliance list, using any evidence gathered to make a judgement call on whether to escalate the potential match for further investigation.
* Reviewing accounts of existing customers on a periodic basis, including politically exposed and high-risk individuals, in order to detect any suspicious activity that may expose the Society to money laundering or financial crime risks.
* Submitting suspicious activity reports whenever suspicious customer activity was detected.
* Undertaking reviews of new customers at the on-boarding stage who were identified as politically exposed persons in order to mitigate the financial crime risk to the society.
* Updating the weekly report sent to the Money Laundering Reporting Officer.

**HSBC, London May 2018 – September 2018**

*Process Mapping Analyst*

* Worked on the HSBC Fusion project as a process mapping analyst within the procurement and expenses team.
* Role involved assisting in the updating and writing of Standard Operating Procedures (SOPs) for how to use the various functionality that Fusion software provides for procurement and expenses processes. This was to ensure that they were ready for new country releases.
* Also involved making sure the book of work for the team was up to date in order to track the progress of the team deliverables.
* Role also involved creating the end to end process flows for the various processes that the procurement and expenses team can run using the Fusion software.
* Creation of process flows also involved identifying and mapping the various risks and controls that may be involved.
* Main challenges faced whilst on the project included getting up to speed quickly with how Fusion worked, in particular how it related to procurement and expenses in order to be able to update the SOPs.
* Another challenge faced was ensuring work was produced, reviewed and signed off within the deadlines.

Overcoming these challenges involved Tandy setting up meetings and spending time working with the subject matter experts and senior stakeholders in order to gauge how the Fusion process would operate in order assist him in writing these SOPs and process flows. This also involved a great deal of coordination and time management as stakeholders were often spread across multiple countries and time zones.

**FDM Training**

**FDM Academy, London November 2017 – May 2018**

Tandy has completed the Risk, Regulation and Compliance programme. This programme included the following modules:

RRC

1. **Professional Skills** including development lifecycle, presentations & report-writing;
2. **Structured Query Language** including database manipulation;
3. **Excel including VBA**, Solver, Aggregate Functions, Nested If statements, V-Lookups;
4. **Business Analysis Introduction** including Stakeholder Management, BPMN, Financial Appraisal, Requirements documentation;
5. **Financial Industry Awareness** including Debt Instruments, Equities, Taxation, Derivatives, Investment Funds & Financial Markets;
6. **Regulation and Compliance** including International Regulatory Environment and Bodies, Statutory Regulations Money Laundering, Dodd Frank, MiFID II, Due-diligence, & KYC;
7. **Risk Management** including Basel Accords, Market & Credit Risk;
8. **Sign Off Week**

**Previous Employment History**

**Marks and Spencer’s plc, Kingston-upon-Thames September 2016 – December 2016**

*Customer Service Assistant*

* Role involved assisting customers with any questions that they had regarding products as well as recommending product offers to customers. Also involved working the checkout tills and helping to restock.
* Working in retail developed Tandy’s customer service skills and his ability to interact with members of the public.

**Citizens Advice Bureau, Wandsworth September 2015 – August 2016**

*Volunteer Gateway Assessor*

* Role involved interviewing clients that came into the bureau in order to assess what issues they were having and provide advice as to the best way to try to resolve those problems.
* Issues that clients had included queries related to housing, unfair dismissal and benefit claims.
* Interviewing clients, providing advice on how best to resolve problems.
* Providing written reports summarizing client interviews, advice given and action taken.
* Developed his ability to work under pressure, as he had to deal with clients who were angry or impatient and wanted answers to their problems quickly.

**Education**

**Generic University January 2017 – September 2017**

**Legal Practice Course- Commendation**

Modules include:

Introduction to Professional Practice,

Commercial Dispute Resolution,

Business Law and Practice,

Law and Business

**Generic University October 2012 – July 2015**

**LLB Law 2:1**

Modules include:

Introduction to the Legal System,

Public Law,

Information Technology and the Law,

Law and Institutions of the European Union,

Law of Obligations

Competition Law

**Generic Sixth Form September 2005 – July 2012**

A-Levels:

Mathematics A

History A

Government and Politics A

Economics (AS Level) B

**Interests and Activities**

* During his time at university he was a member of his university taekwondo club, attaining a yellow belt senior rank.
* Tandy has also played golf for several years at his local club.
* He is a keen squash player, playing on a weekly basis.
* Has also represented his school and club cricket teams.